

Handout 4: Rayleigh–Bénard problem (Part II)

Most of the effort in comparing with laboratory measurements went into the treatment of suitable boundary conditions. Let us consider here the no-slip condition, i.e.,

$$u_x = u_y = u_z = 0 \tag{1}$$

Owing to $\nabla \cdot \mathbf{u} = 0$, this implies $u_{z,z} = 0$, in addition to $u_z = 0$. Such a function can no longer be represented by simple sine and cosine series. Let us discuss here consequences for the stability analysis.

1 Normal mode analysis

One usually speaks of normal mode analysis, when the eigenfunction is decomposed into a *complete* set of functions. For the time being, we continue using a Fourier decomposition, but now only in the horizontal direction, so we set $u_{1z} = \hat{u}_{1z}(z) e^{\sigma t + i\mathbf{k}_\perp \cdot \mathbf{x}_\perp}$. Let us inset this into Eq. (15) from Handout 3, i.e.,

$$\left(\frac{\partial}{\partial t} - \nabla^2\right) \left(\text{Pr} \frac{\partial}{\partial t} - \nabla^2\right) \nabla^2 u_{z1} = \text{Ra} \nabla_\perp^2 u_{z1}. \tag{2}$$

This yields

$$(\sigma + k_\perp^2 - D^2) (\text{Pr} \sigma + k_\perp^2 - D^2) (k_\perp^2 - D^2) \hat{u}_{1z}(z) = \text{Ra} k_\perp^2 \hat{u}_{1z}(z), \tag{3}$$

where $D = \partial/\partial z$ has been introduced as a shorthand; this is not to be confused with the advective derivative used earlier.

Another trick that can be invoked is what is called the *principle of the exchange of stabilities*, which really just means that σ is real and that the marginal states are characterized by $\sigma = 0$. We discussed this in Handout 3, but didn't talk about exchange of stabilities. Chandrasekhar (1961) talks a lot about it and gives in his Section 11 a general proof of this for Rayleigh–Bénard convection in the absence of rotation. In the presence of rotation, however, the principle of the exchange of stabilities is not valid.

Thus, putting $\sigma = 0$ in Equation (3), and multiplying by -1 (so the coefficient in front of the highest derivative is positive) we have

$$(D^2 - k_\perp^2)^3 \hat{u}_{1z}(z) = -\text{Ra} k_\perp^2 \hat{u}_{1z}(z). \tag{4}$$

Note that this equation, which describes only the onset of convection, is independent of Pr. We have seen this before where the *marginal* stability condition for stress-free boundary conditions was independent of Pr.

The general solution can now we written as a superposition of solutions of the form

$$\hat{u}_{1z}(z) = \sum_{\pm i=1}^3 A_i e^{q_i z} \tag{5}$$

with, in general, complex values of q_i . Inserting this into Equation (4) yields

$$(q_i^2 - k_\perp^2)^3 = -\text{Ra} k_\perp^2 \tag{6}$$

for $i = 1, 2$, and 3 . To solve this equation, we need to find the three roots of this equation. The footnote¹

¹To find the three roots of $(-1)^{1/3}$, it is useful to represent -1 in the form $-1 = e^{i\pi}$. The three solutions are then

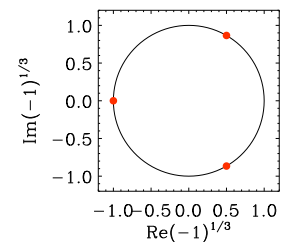
$$e^{+i\pi/3} = \frac{1}{2} + \frac{i}{2}\sqrt{3}, \tag{7}$$

$$e^{-i\pi/3} = \frac{1}{2} - \frac{i}{2}\sqrt{3}, \tag{8}$$

$$e^{i\pi} = -1. \tag{9}$$

and

Likewise, if we wanted to find the roots of $(-1)^{1/5}$, for example, they would be given by $e^{\pm i\pi/5} = \cos \pi/5 \pm i \sin \pi/5$, $e^{\pm 3i\pi/5} = \cos 3\pi/5 \pm i \sin 3\pi/5$, and, again, $e^{5i\pi/5} = -1$.



is a reminder of how you do this. With these preparations, we can now write

$$q_i^2 - k_\perp^2 = \text{Ra}^{1/3} k_\perp^{2/3} \times \begin{cases} -1 & \text{for } i = 1 \\ \frac{1}{2} + \frac{i}{2}\sqrt{3} & \text{for } i = 2 \\ \frac{1}{2} - \frac{i}{2}\sqrt{3} & \text{for } i = 3 \end{cases} \quad (10)$$

for the three roots of q_i^2 . To find all six roots of q_i , we begin with the simplest case, i.e.,

$$q_{\pm 1} = \pm \sqrt{k_\perp^2 - \text{Ra}^{1/3} k_\perp^{2/3}} = \pm i \sqrt{\text{Ra}^{1/3} k_\perp^{2/3} - k_\perp^2} = \pm i k_\perp \sqrt{(\text{Ra}/k_\perp^4)^{1/3} - 1}. \quad (11)$$

Next, we have

$$q_{\pm 2} = \pm \sqrt{k_\perp^2 + \text{Ra}^{1/3} k_\perp^{2/3} \left(\frac{1}{2} + \frac{i}{2}\sqrt{3}\right)} = \pm k_\perp \sqrt{1 + \frac{1}{2} (\text{Ra}/k_\perp^4)^{1/3} (1 + i\sqrt{3})} \quad (12)$$

and finally, $q_{\pm 3}$ is just given by the complex conjugate of $q_{\pm 2}$, i.e.,

$$q_{\pm 3} = q_{\pm 2}^*. \quad (13)$$

To construct the final solution and to determine the critical excitation condition, we need to invoke boundary conditions. In addition to those discussed in the preamble, i.e., $\hat{u}_{1z} = D\hat{u}_{1z} = 0$, we still have the condition $\hat{T} = 0$, which can be expressed in terms of \hat{u}_{1z} using Eq. (10) of Handout 3, which reduces to

$$(D^2 - k_\perp^2)^2 \hat{u}_{1z}(z) = 0 \quad (14)$$

For each of the three pairs, the functions can be readily combined into a function that is symmetric around 0 by

$$\hat{u}_{1z}(z) = \sum_{\pm i=1}^3 A_i e^{q_i z} = \sum_{i=1}^3 A_i (e^{q_i z} + e^{-q_i z}) = 2 \sum_{i=1}^3 A_i \cosh q_i z. \quad (15)$$

To obey the boundary condition $\hat{u}_{1z}(\pm 1/2) = 0$, we have to require that

$$\sum_{i=1}^3 \cosh q_i / 2 = 0. \quad (16)$$

This is one equation for the three unknowns A_i for $i = 1, 2$, and 3 . Next, to obey the boundary condition $D\hat{u}_{1z}(\pm 1/2) = 0$, we have to require that

$$\sum_{i=1}^3 \sinh q_i / 2 = 0. \quad (17)$$

Finally, to obey the boundary condition $(D^2 - k_\perp^2)^2 \hat{u}_{1z}(\pm 1/2) = 0$, we have to require that

$$\sum_{i=1}^3 (q_i^2 - k_\perp^2)^2 \cosh q_i / 2 = 0. \quad (18)$$

We now have 3 equations for the three unknowns A_i for $i = 1, 2$, and 3 . This leads to a 3×3 matrix equation, where the eigenvector is given by (A_1, A_2, A_3) and the matrix is a function of Ra and k_\perp^2 . The determinant of this matrix must vanish, which then results in a function $\text{Ra} = \text{Ra}(k_\perp^2)$; see Fig. 11.10 of KCD. The smallest value of Ra is reached at $k_\perp = 3.12$ and gives $\text{Ra}(k_\perp) = 1708$.

References

Chandrasekhar, S. *Hydrodynamic and Hydromagnetic Stability*. Dover Publications, New York (1961).