

## Handout 8: Inflection point instability II

- Experimental studies by Reynolds (1883). Two hypotheses: viscosity acts either as to stabilize or to destabilize. No physical mechanism suggested. Unaware of earlier inviscid studies.
- Helmholtz (1868), Kelvin (1871), and Rayleigh (1880) considered the stability of inviscid incompressible flow of constant density.
- Orr (1907) and Sommerfeld (1908) considered the stability of viscous flows and confirmed that Reynolds' first hypothesis is valid.
- Fjørtoft (1950) finds a stricter necessary condition for instability. [He was part of a Princeton team that in 1950 performed the first successful numerical weather prediction using the ENIAC electronic computer.]

### 1 Fjørtoft's theorem

Consider again Rayleigh's equation in integral form

$$\int (|\psi'|^2 + k^2|\psi|^2) dx + \int \frac{U''}{U-c} |\psi|^2 dx = 0, \quad (1)$$

and write  $c = c_r + ic_i$ . Expand the second term with  $(U-c)^* = (U-c_r) - ic_i$ . Instead of considering just the imaginary part of this equation,

$$c_i \int \frac{U''}{|U-c|^2} |\psi|^2 dx = 0, \quad (2)$$

which shows that  $U''$  must change sign at least once in the interval, he considered the real part,

$$\int \frac{U''(U-c_r)}{|U-c|^2} |\psi|^2 dx = - \int (|\psi'|^2 + k^2|\psi|^2) dx < 0. \quad (3)$$

Given that

$$\int \frac{U''}{|U-c|^2} |\psi|^2 dx = 0 \quad (\text{for instability}), \quad (4)$$

we also have

$$(c_r - U_s) \int \frac{U''}{|U-c|^2} |\psi|^2 dx = 0 \quad (\text{for instability}), \quad (5)$$

where  $U_s = U(x = x_s)$ , and  $x_s$  is the inflection point where  $U''$  is zero, i.e.,  $U''(x = x_s) = 0$ . Adding Equation (5) to Equation (3) yields

$$\int \frac{U''(U-U_s)}{|U-c|^2} |\psi|^2 dx = - \int (|\psi'|^2 + k^2|\psi|^2) dx < 0 \quad (\text{for instability}). \quad (6)$$

This shows that, for instability, not only has  $U''$  to vanish at least once within the domain, but the product  $U''(U-U_s)$  must be negative; see Figure 1 for two examples of which only one obeys  $U''(U-U_s) < 0$ .

### 2 Adjoint problem

Rayleigh's instability equation,

$$(U-c)(\partial_x^2 - k^2)\hat{\psi} - U''\hat{\psi} = 0, \quad (7)$$

is not self-adjoint. The adjoint problem is given by

$$(\partial_x^2 - k^2)(U-c)\hat{\psi}^\dagger - U''\hat{\psi}^\dagger = 0. \quad (8)$$

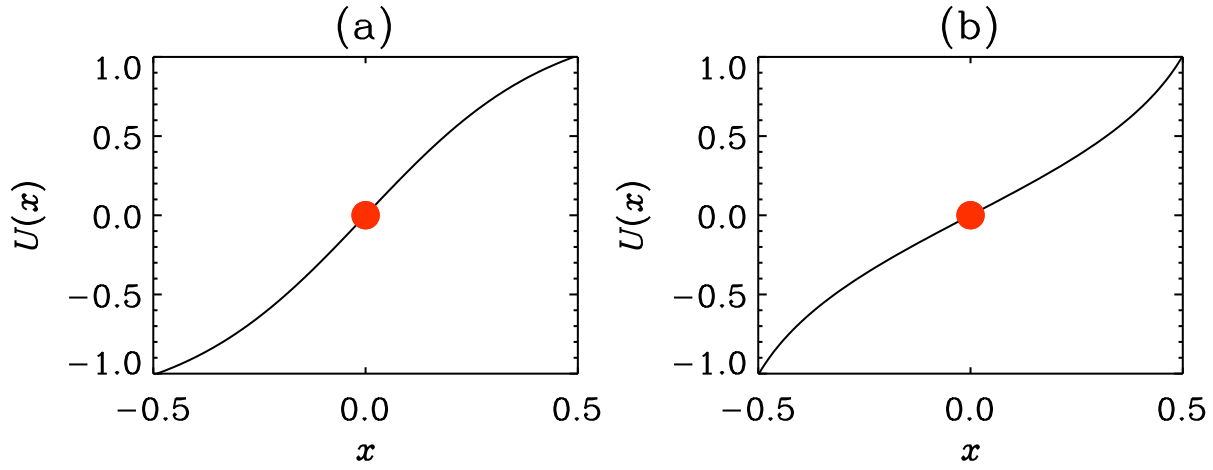


Figure 1: Sketch of two shear flow profiles. Both are Rayleigh unstable, but only one is Fjørtoft's unstable.

Differentiating through, we obtain first

$$\partial_x U' \hat{\psi}^\dagger + \partial_x (U - c)(\hat{\psi}^\dagger)' - k^2 (U - c) \hat{\psi}^\dagger - U'' \hat{\psi}^\dagger = 0, \quad (9)$$

and then

$$U'' \hat{\psi}^\dagger + U'(\hat{\psi}^\dagger)' + U'(\hat{\psi}^\dagger)' + (U - c)(\hat{\psi}^\dagger)'' - k^2 (U - c) \hat{\psi}^\dagger - U'' \hat{\psi}^\dagger = 0. \quad (10)$$

or

$$+2U'(\hat{\psi}^\dagger)' + (U - c)(\hat{\psi}^\dagger)'' - k^2 (U - c) \hat{\psi}^\dagger = 0. \quad (11)$$

Multiplying this by  $U - c$  yields

$$+2(U - c)U'(\hat{\psi}^\dagger)' + (U - c)^2(\hat{\psi}^\dagger)'' - k^2 (U - c)^2 \hat{\psi}^\dagger = 0, \quad (12)$$

but since  $2(U - c)U'(\hat{\psi}^\dagger)' + (U - c)^2(\hat{\psi}^\dagger)'' = \partial_x [(U - c)^2(\hat{\psi}^\dagger)']$ , Equation (12) can also be written as

$$\partial_x [(U - c)^2 \partial_x \hat{\psi}^\dagger] - k^2 (U - c)^2 \hat{\psi}^\dagger = 0 \quad (13)$$

which is manifestly self-adjoint!

## References

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